## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s)

Seok-Hyun Yun et al.

Serial No.

10/577,562

Filed

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April 27, 2006

Entitled

METHOD AND APPARATUS FOR PERFORMING OPTICAL

IMAGING USING FREQUENCY-DOMAIN INTER-

**FEROMETRY** 

To be Assigned

Group Art Unit

2859

Examiner

Confirmation No.

3634

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached Form PTO 1449, and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the United States patent references listed on the Form PTO-1449 are not enclosed, but the PCT, foreign and non-patent references are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under

036179/US/2 - 475387-00030 PATENT

United States law, applicants reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents be

applied against the claims of the present application.

This submission is being filed before any action by the U.S. Patent and

Trademark Office on the merits. Therefore, applicants do not believe that any fee is due in

connection with the submission of this paper. However, if any fee is due, or if any overpayment

has been made, the Commissioner is authorized to charge any such fee or credit any

overpayment, to our Deposit Account No. 50-2054.

Respectfully submitted,

Dorsey & Whitney, LLP

December 4, 2006

Gary Abelev

PTO Reg. No. 40,479

Attorneys for Applicants

Customer No. 30873

(212) 415-9371

4832-5847-4497\1

Page 1 of 3 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036179/US/2 - 475387-10/577,562 00030 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Seok-Hyun Yun et al. Filing Date Group No. April 27, 2006 2859 U.S. PATENT DOCUMENTS Filing Date \*Exam. Document No. Date Class Name Subclass if Appropriate Init. 3 6 8 4 5 May 7, 2002 1 Everett et al. 6 6 5 0 7 1 September 2, 2003 Casscells, III et al. \*\*\*\* 2003 0 1 7 1 9 6 1 September 11, 2003 Casscells, III et al. \*\*\*\* 6 2 7 2 3 7 August 7, 2001 6 Marcu et al. \*\*\*\* 5 8 6 7 2 6 8 February 2, 1999 Gelikonov et al. 7 9 6 1 4 2 1 January 16, 2001 McMahon et al.\*\*

Li\*\*\*\*

Hill\*\*\*\*\*

Lennox et al.\*\*\*\*\*

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Benja-Athon\*\*\*\*\*

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April 6, 1999

July 18, 2000

September 1, 1998

October 3, 1995

December 1, 1998

October 17, 2000

Date Considered

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 of 3 Form PTO-1449 U.S. Department of Commerce Atty. Docket No. Serial No. (REV. 2-82) Patent and Trademark Office 036179/US/2 - 475387-10/577,562 00030 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Applicant(s) (Use several sheets if necessary) Seok-Hyun Yun et al. Filing Date Group No. April 27, 2006 2859 \*\* References cited in International Search Report

\*\*\*\* References cited in Office Action dated August 24, 2006 for U.S. Patent Application No. 10/137,749
\*\*\*\*\*References cited in Office Action dated November 13, 2006 for U.S. Patent Application No. 10/501,268

\*\*\*\*\* References cited in Office Action dated November 20, 2006 for U.S. Patent Application No. 09/709,162

OTHER DOCUMENTS (including Author, Title Date, Pertinent Pages, Etc.) Copy of Office Action dated August 24, 2006 for U.S. Patent Application No. 10/137,749 Barry Cense et al., "Spectral-domain polarization-sensitive optical coherence tomography at 850nm", Coherence Domain Optical Methods and Optical Coherence Tomography in Biomedicine IX, 2005, pages 159-162 \*\* A. Ymeti et al., "Integration of microfluidics with a four-channel integrated optical Young interferometer immunosensor", Biosensors and Bioelectronics, Elsevier Science Publishers, 2005, pages 1417-1421 \*\* PCT International Search Report for Application No. PCT/US2006/018865 filed May 5, 2006 International Written Opinion for International Patent application No. PCT/US2006/018865 filed May 5, 2006 John M. Poneros, "Diagnosis of Barrett's esophagus using optical coherence tomography", Gastrointestinal Endoscopy clinics of North America", 14 (2004) pages 573-588 \*\* P.F. Escobar et al., "Diagnostic efficacy of optical coherence tomography in the management of preinvasive and invasive cancer of uterine cervix and vulva", Int. Journal of Gynecological Cancer 2004, 14, pages 470-474 \*\* Ko T et al., "Ultrahigh resolution in vivo versus ex vivo OCT imaging and tissue preservation", Conference on Lasers and electro-optics, 2001, pages 252-253 \*\* Paul M. Ripley et al., "A comparison of Artificial Intelligence techniques for spectral classification in the diagnosis of human pathologies based upon optical biopsy", Journal of Optical Society of America, 2000, pages 217-219 \*\* Wolfgang Drexler et al., "Ultrahigh-resolution optical coherence tomography", Journal of Biomedical Optics Spie USA, 2004, pages 47-74 \*\*

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<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office  INFORMATION DISCLOSURE STATEMENT	Atty. Docket No. 036179/US/2 – 475387-00030	Serial No. 10/577,562				
BY APPLICANT  (Use several sheets if necessary)	Applicant(s) Seok-Hyun Yun et al.					
11	Filing Date April 27, 2006	Group No. 2859				
PCT International Search Report for A	Application No. PCT/US2006/016	6677 filed April 28, 2006				
International Written Opinion for Inte April 28, 2006	International Written Opinion for International Patent application No. PCT/US2006/016677 filed April 28, 2006					
Copy of Office Action dated Novemb	Copy of Office Action dated November 13, 2006 for U.S. Patent Application No. 10/501,268					
Copy of Office Action dated Novemb	Copy of Office Action dated November 20, 2006 for U.S. Patent Application No. 09/709,162					
PCT International Search Report and filed July 23, 2004	Written Opinion for Application 1	No. PCT/US2004/023585				

Examiner Date Considered

<sup>\*</sup> Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.